

Single DIP Scheme Risk Register /Mitigating Issues

February 2008

New Single Drug Interventions Programme (delivery across Bedford Borough, Central Bedfordshire and Luton)

- Testing on Arrest will be implemented across the whole county from April 2009, in order to have a greater impact on reducing crime and increasing the numbers of offenders moving into treatment and out of crime.
- In order to respond to TOA there will be a single intensive DIP scheme that will support offenders into drug treatment. This scheme will be delivered across Bedford Borough, Central Bedfordshire, and Luton.
- The aim is to increase the numbers of individuals in drug treatment, to contribute to decreasing levels of serious acquisitive crime and overall harm to communities.
- Performance of this programme will be monitored through Health, LAA and NTA targets

The aims are to:

1. To increase the numbers of individuals in Drug Treatment
2. To support offenders into drug treatment
3. To contribute to the reduction of acquisitive crime
4. To contribute to the reduction in local crime rates

Identification of Risks:

The risks below have been identified as significant issues for the delivery of the Drug Interventions programme across Bedford Borough, Central Bedfordshire and Luton. This is a document that identifies the risks associated with immediate tender against the current DIP contract.

In order to mitigate these risks the proposal is for continued delivery of this service with the current DIP service provider. The proviso is that the contract goes out to tender within a 12 month period (by end of 2009-10)

No	RISKS	Risk without controls			Controls in Place / Remedial Action	Risk with controls		
		Likelihood	Impact	Score		New Likelihood	New Impact	New Score
1	Immediate tender disrupts service provision leading to inability of the new single DIP intensive scheme to respond to new Testing On Arrest regime	5	5	25	Tender process is carried out within a 12 month period to allow new TOA regime to embed	2	2	4
2	Testing On Arrest scheme is unable to get clients the support they need on referral to the DIP scheme leading to offenders not accessing treatment				Tender process is completed within a 12 month period to ensure new TOA system is functioning and there is an established DIP scheme to refer into.	2	2	4
3	Offenders unable to access drug treatment leading to the likelihood of an increase in crime rates locally	5	5	25	An established DIP scheme is embedded with current experienced provider to ensure offenders access treatment and crime rates reduce	2	2	4
4	Clients unable to access treatment services leading to a negative impact on LAA, Health and NTA targets around Individuals in Treatment	5	5	25	Effective access to treatment through the established DIP scheme reduces potential impact on LAA, Health and NTA targets	2	2	4
5	Negative impact on delivery against LAA, Health and NTA targets leading to a reduction in future funding due to performance related funding.	5	5	25	Potential risks against delivery targets addressed and risks to future funding allocations significantly reduced	2	2	4
6	Staff feeling vulnerable due to tender process leading to risk to Staff Retention and losing skilled workers	5	5	25	Staff continue in their current posts until re tender process is complete at the end of 12 months .Experienced staff are retained and skills are not lost	2	2	4

No	RISKS	Risk without controls			Controls in Place / Remedial Action	New Likelihood	Risk with controls		New Score
		Likelihood	Impact	Score			New Impact	New Score	
7	Staff leaving leads to Increased budget pressures as staff leave and posts need to be covered by temp staff	5	5	25	Staff remain in post at agreed costs and no significant costs pressures are incurred as a result of the recruitment of temp staff	2	2	4	
8	Service provision begins to deteriorate leading to reputational risk to both service provider and partnership commissioners on non delivery of service provision	5	5	25	Service provision remains with current provider safeguarding both the reputational risk to both provider and partnership commissioners	2	2	4	
9	Current provider replaced through immediate tender process. This will lead to significant costs incurred as a result of provider holding leases on buildings, across all unitary areas.	5	5	25	Current provider remains negotiations with other service providers can take place to change leaseholder and costs around opt out clauses on lease will be avoided	2	2	4	

This scoring gives the following risk ranking:

- Very High risk** - score of 16-25
- Medium risk** - score of 8-15
- Low risk** - score of 1-7

Impact	Very High 5	Low (5X1 = 5)	Medium (5X2 = 10)	Medium (5X3 = 15)	High (5X4 = 20)	High (5X5 = 25)
	High 4	Low (4X1 = 4)	Medium (4X2 = 8)	Medium (4X3 = 12)	High (4X4 = 16)	High (4X5 = 20)
	Medium 3	Low (3X1 = 3)	Low (3X2 = 6)	Medium (3X3 = 9)	Medium (3X4 = 12)	Medium (3X5 = 15)
	Low 2	Low (2X1 = 2)	Low (2X2 = 4)	Low (2X3 = 6)	Medium (2X4 = 8)	Medium (2X5 = 10)
	Very Low 1	Low (1X1 = 1)	Low (1X2 = 2)	Low (1X3 = 3)	Low (1X4 = 4)	Low (1X5 = 5)
		1 Very Low	2 Low	3 Medium	4 High	5 Very High
Likelihood (Probability)						

IMPACT & LIKELIHOOD DESCRIPTIONS - This table helps to define levels of impact and likelihood:

Impact:	Service Delivery	Financial Loss	Reputational	Health & Safety
Catastrophic	Total system dysfunction Total shutdown of operations.	Over £5m	Key person resignation/ removal Sustained adverse publicity in national media	Fatality or permanent disability (single event)
Severe	All operational areas of a location compromised Other locations may be affected	Up to £5m	Sustained adverse publicity in national media Board and Member dissatisfaction	Greater than 6 months absence for more than 5 people (single event)
Major	Disruption to a number of operational areas within a location and possible flow on to other locations	Up to £1m	Significant adverse publicity national media	Greater than 20 days absence for more than 5 people (single event)
Reasonable	Some disruption manageable by altered operational routine	Up to £250k	Significant adverse publicity in local media	Short term absence for up to 5 people (single event)
Low	No / minimal interruption to service.	Up to £100k	Minor adverse publicity in local media	Short term absence for up to 5 people (single event)